

# Network Coding Gaps for Completion Times of Multiple Unicasts

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Paper by: Haeupler, Wajc, Zuzic (2020)

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## Definition

A multiple-unicast instance is

$$M = (G, S), \quad S = \{(s_i, t_i, d_i)\}_{i=1}^k.$$

The source  $s_i$  holds a packet of  $d_i$  sub-packets and wants to deliver it to  $t_i$ . Each edge  $e$  has capacity  $c_e$  per synchronous time step.

- A protocol has completion times  $(T_1, \dots, T_k)$  if  $t_i$  decodes by time  $T_i$ .
- The makespan is  $\max_i T_i$ .

# Routing vs. coding

## Routing

Nodes only forward sub-packets they already know.

information behaves like a commodity.

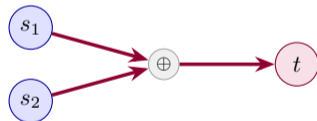


$m_i$  moves as  $m_i$

## Network coding

Nodes may send arbitrary functions of everything they have received.

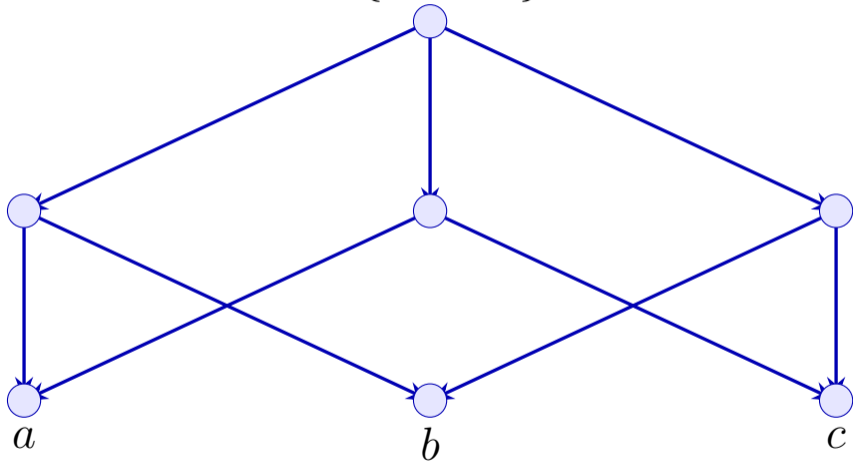
e.g.  $m_1 \oplus m_2$ .

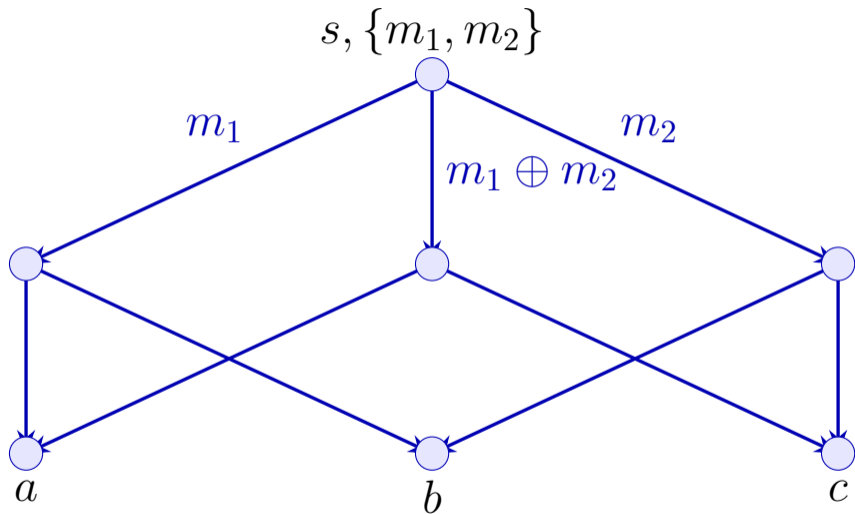


$m_1 \oplus m_2$  can serve two decoders

[ACLY00]: coding can beat store-and-forward in networks.

$s, \{m_1, m_2\}$





## Two basic lower bounds

Let  $T_{\text{NC}}^*$  be the optimal coding makespan and

$$\lambda_i = \min_{\substack{U \subseteq V \\ s_i \in U, t_i \notin U}} \sum_{e \in \delta(U)} c_e$$

be the  $s_i$ - $t_i$  min-cut capacity. Then

$$T_{\text{NC}}^* \geq \max_i \text{dist}_G(s_i, t_i), \quad T_{\text{NC}}^* \geq \max_i \frac{d_i}{\lambda_i}.$$

Naive candidate

$$T_{\text{NC}}^* = L_0 = \max \left\{ \max_i \text{dist}_G(s_i, t_i), \max_i \frac{d_i}{\lambda_i} \right\}.$$

# Counterexample

## Definition (Coding gap for a cost $C$ )

For  $C : \mathbb{R}_{\geq 0}^k \rightarrow \mathbb{R}_{\geq 0}$ ,

$$\text{gap}_C(M) = \frac{\min\{C(T) : T \text{ achievable by routing}\}}{\min\{C(T) : T \text{ achievable by coding}\}}.$$

The paper studies mainly *makespan*:

$$C(T_1, \dots, T_k) = \|T\|_\infty = \max_i T_i.$$

## What was known?

### Completion-time setting

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The well-studied version was the asymptotic large-packet regime: *throughput maximization*. Let  $C(w)$  be the fastest makespan after multiplying all demands by  $w$ . The maximum throughput is

$$\sup_{w \rightarrow \infty} \frac{w}{C(w)}.$$

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## Multiple-unicast conjecture

In the throughput setting, no instance is known where coding beats routing; it is conjectured that the throughput coding gap is always 1 [HKL04, LL04].

The throughput conjecture is tied to lower bounds in other models:

network coding  $\implies$  data structures, external memory, circuits [AHJKL06, AFKL19, FHI19]

In particular, a throughput coding-gap bound  $o(\log k)$  for all  $k$ -unicast instances would imply explicit super-linear circuit lower bounds [AFKL19].

The best general throughput upper bound is  $O(\log k)$ .

## Theorem (Upper bound, Haeupler–Wajc–Zuzic)

*For every  $k$ -unicast instance,*

$$\text{gap}_{\ell_\infty}(M) \leq O\left(\log k \cdot \log\left(\sum_i d_i / \min_i d_i\right)\right).$$

*For similarly-sized packets this is  $O(\log^2 k)$ .*

## Main results

### Theorem (Upper bound, Haeupler–Wajc–Zuzic)

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*For similarly-sized packets this is  $O(\log^2 k)$ .*

### Theorem (Lower bound)

*There are infinitely many  $k$ -unicast instances with unit demands and*

$$\text{gap}_{\ell_\infty}(M) \geq \Omega(\log^c k)$$

*for an absolute constant  $c > 0$ .*

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## A first cut lemma for routing

### Lemma

Let  $M = (G, S)$  be a simple instance: unit capacities and unit demands. Suppose  $F \subseteq E$  and

$$\text{dist}_{G \setminus F}(s_i, t_i) \geq T \quad \text{for every } i.$$

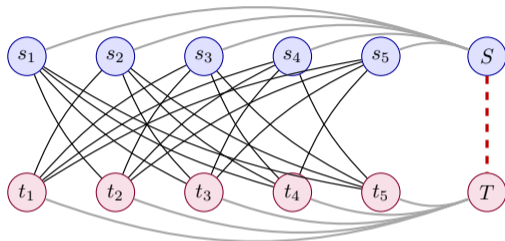
Then every **routing** protocol has makespan at least

$$\min\{T, k/|F|\}.$$

### Proof.

Fix the routing paths. Either some session uses no edge of  $F$ , hence its path has length at least  $T$ . Or all  $k$  paths touch  $F$ , so one edge of  $F$  carries at least  $k/|F|$  packets.  $\square$

## Why the same statement fails for coding



- Delete  $ST$ : every own pair  $s_i, t_i$  becomes distance 5.
- Coding still finishes in 3 rounds.

$S$  sends  $\bigoplus_i m_i$  to  $T$ ,  $t_j$  already learns all  $m_i$  ( $i \neq j$ ),

so  $t_j$  recovers  $m_j$  by canceling all other messages.

# A cut lemma that survives coding

## Lemma

Let  $M$  be simple. Suppose  $F \subseteq E$  and

$$\text{dist}_{G \setminus F}(s_i, t_j) \geq T \quad \text{for all } i, j.$$

Then every **coding** protocol has makespan at least

$$\min\{T, k/|F|\}.$$

## Proof.

Give all sources to Alice and all sinks to Bob. This only makes the task easier. If a protocol finishes before time  $T$ , every bit learned by Bob must cross  $F$ . The cut carries at most  $|F|T'$  bits in  $T'$  rounds, but Bob must learn  $k$  independent bits. Thus  $|F|T' \geq k$ . □

# Moving cut

## Definition (Moving cut)

A moving cut is an assignment of integer edge lengths

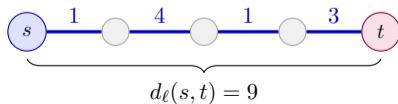
$$\ell : E \rightarrow \mathbb{Z}_{\geq 1}.$$

Its capacity is

$$C(\ell) = \sum_{e \in E} c_e(\ell_e - 1).$$

Its distance is at least  $T$  for a set of sessions if

$$d_\ell(s_i, t_j) \geq T \quad \text{for all sources } s_i \text{ and sinks } t_j.$$



## Moving cut lower bound

### Lemma

If  $M = (G, S)$  admits a moving cut  $\ell$  with

$$C(\ell) < \sum_i d_i \quad \text{and} \quad d_\ell(s_i, t_j) \geq T \quad \forall i, j,$$

then every coding protocol has makespan at least  $T$ .

### Proof.

Assume a protocol finishes in  $T - 1$  rounds. At time  $r$ , Alice spectates

$$A_r = \{v : \min_i d_\ell(s_i, v) \leq r\},$$

and Bob spectates  $B_r = V \setminus A_r$ .

## Moving cut lower bound: the information count

Proof.

Bob sees a packet sent over  $e = \{u, v\}$  from Alice's side to Bob's side only during rounds

$$r \in [\min_i d_\ell(s_i, u), \min_i d_\ell(s_i, v) - 1].$$

This interval has length at most  $\ell_e - 1$ .

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This interval has length at most  $\ell_e - 1$ .

Hence the total information Bob can receive from Alice is at most

$$\sum_e c_e(\ell_e - 1) = C(\ell) < \sum_i d_i.$$

But by time  $T - 1$  Bob spectates every sink, since  $d_\ell(s_i, t_j) \geq T$  for all  $i, j$ . Thus Bob recovers  $\sum_i d_i$  independent bits from fewer bits, a contradiction.  $\square$

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Suppose coding finishes the whole instance by time

$$T^* = \text{OPT}_{\text{NC}}(M).$$

Our goal is a routing protocol with makespan

$$O\left(T^* \log k \cdot \log\left(\sum_i d_i / \min_i d_i\right)\right)$$

# Hop-bounded concurrent flow

For  $T > 0$ , let

$$P_i(T) = \{p : s_i \rightsquigarrow t_i, |p| \leq T, p \text{ simple}\}.$$

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**Primal:** ConcurrentFlow $_M(T)$

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**Dual:** Cut $_M(T)$

---

$$\begin{aligned} \max \quad & z \\ \text{s.t.} \quad & \sum_{p \in P_i(T)} f_i(p) \geq z d_i \quad \forall i \\ & \sum_{p \ni e} f_i(p) \leq T c_e \quad \forall e \\ & f_i(p) \geq 0 \end{aligned}$$

$$\begin{aligned} \min \quad & T \sum_e c_e \ell_e \\ \text{s.t.} \quad & \sum_{e \in p} \ell_e \geq h_i \quad \forall i, p \in P_i(T) \\ & \sum_i d_i h_i \geq 1 \\ & \ell_e, h_i \geq 0 \end{aligned}$$

---

If there is a routing protocol with  $T$  rounds, then  $z = 1$ .

## Proposition ([ST01, LMR94])

*If  $z, \{f_i(p)\}$  is feasible for  $\text{ConcurrentFlow}_M(T)$ , then there is a routing protocol with makespan*

$$O(T/z).$$

## High LP value gives routing

### Proposition ([ST01, LMR94])

If  $z, \{f_i(p)\}$  is feasible for  $\text{ConcurrentFlow}_M(T)$ , then there is a routing protocol with makespan

$$O(T/z).$$

### Lemma

If the optimum of  $\text{ConcurrentFlow}_M(T)$  is at most  $1/10$ , then the coding makespan is at least

$$\frac{T}{C \log k \cdot \log(\sum_i d_i / \min_i d_i)}$$

for a universal constant  $C$ .

# Proof of the upper bound

## Theorem

$$\text{gap}_{\ell_\infty}(M) \leq O\left(\log k \cdot \log\left(\sum_i d_i / \min_i d_i\right)\right).$$

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## Proof.

Let  $T^* = \text{OPT}_{\text{NC}}(M)$  and  $T = (C + 1)T^* \log k \cdot \log(\sum_i d_i / \min_i d_i)$ . If  $\text{ConcurrentFlow}_M(T)$  had value at most  $1/10$ , the low-LP theorem would imply a coding lower bound larger than  $T^*$ , impossible.

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Thus the LP value is  $\Omega(1)$ . By the rounding proposition, there is a routing protocol with makespan

$$O(T) = O\left(T^* \log k \cdot \log\left(\sum_i d_i / \min_i d_i\right)\right).$$



# Bucketing the weighted distances

## Claim

Given  $h_1, \dots, h_k, d_1, \dots, d_k \geq 0$  with  $\sum_i d_i h_i \geq 1$ , then there is a nonempty  $I \subseteq [k]$  with

$$\min_{i \in I} h_i \geq \frac{1}{\alpha_{\text{gap}} \sum_{i \in I} d_i}, \quad \alpha_{\text{gap}} = O\left(\log\left(\sum_i d_i / \min_i d_i\right)\right).$$

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## Proof.

Sort  $h_1 \geq h_2 \geq \dots \geq h_k$  and write  $d([j]) = \sum_{i \leq j} d_i$ . If no prefix works, then  $h_i < \frac{1}{\alpha d([i])}$ . Multiplying by  $d_i$  and summing gives

$$1 \leq \sum_i d_i h_i < \frac{1}{\alpha} \sum_i \frac{d_i}{d([i])} \leq \frac{1}{\alpha} \left(1 + \ln \frac{\sum_i d_i}{\min_i d_i}\right),$$

a contradiction for this choice of  $\alpha$ . □

## Recall: the dual certificate

A feasible dual solution consists of edge lengths  $\ell_e$  and weights  $h_i$ :

$$\begin{aligned} \min \quad & T \sum_e c_e \ell_e \\ \text{s.t.} \quad & \sum_{e \in p} \ell_e \geq h_i \quad \forall i, p \in P_i(T) \\ & \sum_i d_i h_i \geq 1 \\ & \ell_e, h_i \geq 0. \end{aligned}$$

After bucketing, for some nonempty  $I \subseteq [k]$ , every  $p \in P_i(T)$  with  $i \in I$  satisfies

$$\sum_{e \in p} \ell_e \geq h_i \geq \frac{1}{\alpha_{\text{gap}} \sum_{q \in I} d_q}$$

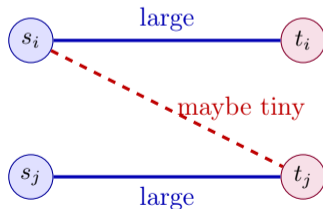
## The pairwise-to-all-pairs obstacle

The dual LP only certifies large distance for the requested pairs:

$$d_\ell(s_i, t_i) \text{ is large for } i \in I.$$

Moving cuts need the stronger condition

$$d_\ell(s_i, t_j) \text{ is large for all } i, j.$$



# Padded partitions

Let  $(X, d)$  be a metric space and let  $\mathcal{P}$  be a partition of  $X$ .

## Definition

- $\mathcal{P}$  is  $\Delta$ -bounded if every part has diameter at most  $\Delta$ .
- Denote by  $\mathcal{P}(x)$  the part containing  $x$ .
- A distribution  $\mathfrak{P}$  over  $\Delta$ -bounded partitions is  $(\beta, \Delta)$ -padded if, for a universal constant  $\delta > 0$ ,

$$\Pr_{\mathcal{P} \sim \mathfrak{P}} [B(x, \gamma\Delta) \not\subseteq \mathcal{P}(x)] \leq \beta\gamma \quad \forall x \in X, 0 \leq \gamma \leq \delta.$$

## Theorem (Gupta–Krauthgamer–Lee [GKL03])

*Every  $k$ -point metric admits a  $(\beta, \Delta)$ -padded decomposition for every  $\Delta > 0$ , with  $\beta = O(\log k)$ .*

## Lemma

Let  $(X, d)$  be a metric space. Given  $n$  pairs  $(s_i, t_i)$  using at most  $k$  distinct points in  $\cup_i \{s_i, t_i\}$  and satisfying

$$d(s_i, t_i) \geq T,$$

there is  $I \subseteq [n]$ ,  $|I| \geq n/9$ , such that

$$d(s_i, t_j) \geq \frac{T}{O(\log k)} \quad \text{for all } i, j \in I.$$

## Proof.

Take a  $(\beta, \Delta)$ -padded partition with

$$\Delta = T - 1, \quad \beta = O(\log k).$$

Since  $d(s_i, t_i) \geq T$ , the two endpoints of each pair lie in different parts.

## Metric pruning: proof

### Proof.

Take a  $(\beta, \Delta)$ -padded partition with

$$\Delta = T - 1, \quad \beta = O(\log k).$$

Since  $d(s_i, t_i) \geq T$ , the two endpoints of each pair lie in different parts.

Let  $\rho = \Delta/(2\beta)$ . With probability at least  $1/2$ ,

$B(s_i, \rho)$  is inside one part.

Now flip an independent fair coin for every part and put heads in  $U$ , tails in  $V$ . Keep pair  $i$  if  $B(s_i, \rho) \subseteq U$  and  $t_i \in V$ .

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Now flip an independent fair coin for every part and put heads in  $U$ , tails in  $V$ . Keep pair  $i$  if  $B(s_i, \rho) \subseteq U$  and  $t_i \in V$ .

For every  $i$ , this happens with probability at least  $1/8$ , so the expected number kept is  $n/8$ . With constant probability at least  $n/9$  are kept. For kept  $i, j$ ,  $B(s_i, \rho) \subseteq U$  and  $t_j \in V$ , hence  $d(s_i, t_j) > \rho = T/O(\log k)$ . □

# From a dual solution to a moving cut

## Lemma

If  $\text{ConcurrentFlow}_M(T)$  has optimum  $z^* \leq 1/10$ , then for some sub-instance  $\tilde{I}$  there is a moving cut  $\tilde{\ell}$  with

$$C(\tilde{\ell}) < \sum_{i \in \tilde{I}} d_i, \quad d_{\tilde{\ell}}(s_i, t_j) \geq \frac{T}{O(\alpha_{\text{gap}} \log k)}.$$

## Proof.

Let  $(\ell_e, h_i)$  be a dual solution with  $T \sum_e c_e \ell_e = z^* \leq 1/10$ . Use the bucketing claim to get  $I$  with  $h_i \geq \frac{1}{\alpha_{\text{gap}} \sum_{q \in I} d_q}$ , for all  $i \in I$ . Define integer lengths

$$\tilde{\ell}_e = 1 + \left\lfloor \ell_e T \sum_{q \in I} d_q \right\rfloor.$$

$$\tilde{\ell}_e = 1 + \left[ \ell_e T \sum_{q \in I} d_q \right].$$

The capacity is bounded by

$$C(\tilde{\ell}) = \sum_e c_e (\tilde{\ell}_e - 1) \leq \sum_e c_e \ell_e \cdot T \sum_{q \in I} d_q = z^* \sum_{q \in I} d_q \leq \frac{1}{10} \sum_{q \in I} d_q.$$

For  $i \in I$ , every  $s_i t_i$  path  $p$  has

$$\tilde{\ell}(p) > T/\alpha_{\text{gap}}.$$

Indeed, if  $|p| > T$ , then  $\tilde{\ell}_e \geq 1$  for every edge, so  $\tilde{\ell}(p) \geq |p| > T \geq T/\alpha_{\text{gap}}$ . If  $|p| \leq T$ , dual feasibility gives

$$\ell(p) \geq h_i \geq \frac{1}{\alpha_{\text{gap}} \sum_{q \in I} d_q}.$$

Hence

$$\tilde{\ell}(p) \geq T \sum_{q \in I} d_q \cdot \ell(p) \geq T/\alpha_{\text{gap}}.$$

## Finish the moving cut construction

Proof.

Apply the metric pruning lemma to the metric  $d_{\tilde{\ell}}$ , repeating pair  $i$  exactly  $d_i$  times. It returns a submultiset whose total demand is at least

$$\frac{1}{9} \sum_{q \in I} d_q.$$

For its underlying index set  $\tilde{I}$ ,

$$d_{\tilde{\ell}}(s_i, t_j) \geq \frac{T}{O(\alpha_{\text{gap}} \log k)} \quad \forall i, j \in \tilde{I}.$$

Since

$$C(\tilde{\ell}) \leq \frac{1}{10} \sum_{q \in I} d_q < \sum_{i \in \tilde{I}} d_i,$$

this is a valid moving cut for the sub-instance.



## Theorem

If the optimum of  $\text{ConcurrentFlow}_M(T)$  is at most  $1/10$ , then

$$\text{OPT}_{\text{NC}}(M) \geq \frac{T}{C \log k \cdot \log(\sum_i d_i / \min_i d_i)}.$$

## Proof.

The previous lemma gives a moving cut for a sub-instance with distance  $T/O(\alpha_{\text{gap}} \log k)$ . The moving cut lower bound applies to that sub-instance, and any protocol for  $M$  also solves the sub-instance. Finally,

$$\alpha_{\text{gap}} = O\left(\log\left(\sum_i d_i / \min_i d_i\right)\right).$$



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## Goal of this section

Now we will prove the lower-bound direction:

$\exists c > 0$  and infinitely many  $k$ -unicast instances  $M$   $\text{gap}_{\ell_\infty}(M) \geq \Omega(\log^c k)$ .

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$$\exists c > 0 \text{ and infinitely many } k\text{-unicast instances } M \quad \text{gap}_{\ell_\infty}(M) \geq \Omega(\log^c k).$$

It is enough to build instances with

$$T_{\text{NC}} \leq 3^{2^i}, \quad T_{\text{ROUTING}} \geq 5^{2^i}, \quad \log k \leq 2^{O(2^i)}.$$

Then

$$\frac{T_{\text{ROUTING}}}{T_{\text{NC}}} \geq (5/3)^{2^i} \geq (\log k)^c.$$

## Definition

A gap instance is  $I = (G, S, F)$  with unit capacities, unit demands, disjoint terminals, and cut edges  $F \subseteq E(G)$ . It has parameters

$$(T_{\text{NC}}, D_F, |F|, K, |E|, \rho, \sigma)$$

if:

- coding makespan is at most  $T_{\text{NC}}$ ;
- For all  $i \in [K]$ , we have  $\text{dist}_{G \setminus F}(s_i, t_i) \geq D_F$ ;
- $K = |S|$  and  $|E(G)| \leq |E|$ ;
- $K/|F| \geq \rho$  and  $|E|/|F| \leq \sigma$ .

## Observation

*If  $I$  has parameters  $(T_{\text{NC}}, D_F, |F|, K, |E|, \rho, \sigma)$  and  $D_F \leq \rho$ , then the routing makespan is at least  $D_F$ .*

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If  $I$  has parameters  $(T_{\text{NC}}, D_F, |F|, K, |E|, \rho, \sigma)$  and  $D_F \leq \rho$ , then the routing makespan is at least  $D_F$ . Moreover, every routing protocol leaves at least

$$K \left( 1 - \frac{D_F - 1}{\rho} \right)$$

sessions unfinished before time  $D_F$ .

why?

## Observation

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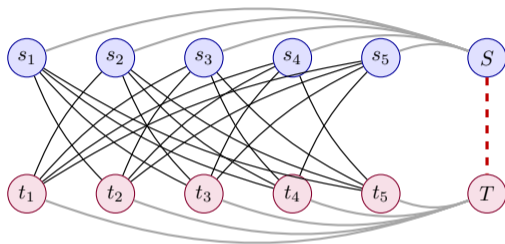
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sessions unfinished before time  $D_F$ .

## Proof.

Before round  $D_F$ , any routed packet that reaches its sink must use an edge of  $F$ . The cut has  $|F|$  unit-capacity edges, so fewer than  $D_F|F|$  packets can cross it in the first  $D_F - 1$  rounds. Since  $K/|F| \geq \rho$ , at most  $(D_F - 1)|F| \leq K(D_F - 1)/\rho$  sessions finish before time  $D_F$ .  $\square$

The base gap: coding time 3, routing time 5



### Observation

For every  $K \geq 5$  this family has parameters

$$(T_{\text{NC}}, D_F, |F|, K, |E|, \rho, \sigma) = (3, 5, 1, K, \Theta(K^2), K, \Theta(K^2)).$$

# Colored bipartite graph

## Definition

$B \in \mathcal{B}(n_1, n_2, m, k, g)$  is bipartite with parts  $|V_1| = n_1, |V_2| = n_2$ , left degree  $m$ , right degree  $k$ , and girth at least  $g$ . Each edge has:

$$\chi_1(e) \in [m] \quad \text{and} \quad \chi_2(e) \in [k].$$

Around each left vertex, edge colors cover  $[m]$  and session colors are the same.

Around each right vertex, session colors cover  $[k]$  and edge colors are the same.

## Lemma (BGS17)

*For all  $r, m, g \geq 3$ , such  $B \in \mathcal{B}(n_1, n_2, m, k, 2g)$  exist with*

$$n_1, n_2 \leq (9mk)^{g+3}.$$

## Product of two gap instances

- For each  $i \in \{1, 2\}$ , let  $I_i = (G_i, S_i, F_i)$  be a gap instance  $(T_i^{\text{NC}}, D_i, |F_i|, K_i, |E_i|, \rho_i, \sigma_i)$ .

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Picture!

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$$T_+^{\text{NC}} = ?,$$

$$D_+ = \text{later},$$

$$|F_+| = ?,$$

$$K_+ = ?,$$

$$|E_+| = ?,$$

$$\rho_i = ?,$$

$$\sigma_i = ?.$$

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- The product  $I_+ = T(I_1, I_2, B)$  does this:

$$T_+^{\text{NC}} = T_1^{\text{NC}} \cdot T_2^{\text{NC}},$$

$$D_+ = \text{later},$$

$$|F_+| = n_1 \cdot |F_1| + n_2 \cdot |F_2|,$$

$$K_+ = n_1 K_1,$$

$$|E_+| = T_2^{\text{NC}} n_1 |F_1| + n_2 |F_2|,$$

$$\rho_i = \rho_1 \frac{1}{1 + 2\sigma_1/\rho_2},$$

$$\sigma_i = \sigma_2 \frac{1 + T_2^{\text{NC}}/2}{1 + \rho_2/(2\sigma_1)}.$$

## Product: coding composes

### Lemma

*The product instance admits a coding protocol with makespan*

$$T_+^{\text{NC}} = T_1^{\text{NC}} T_2^{\text{NC}}.$$

### Proof.

Take a coding protocol for  $I_1$  of length  $T_1^{\text{NC}}$ . One round of that protocol sends one unit across every arc corresponding to an edge of  $G_1$ .

Each non-cut edge of  $I_1$  has been replaced by a session in a copy of  $I_2$ . So simulate one outer round by running the  $T_2^{\text{NC}}$ -round coding protocol for all relevant copies of  $I_2$ . After  $T_1^{\text{NC}}$  outer rounds, each consisting of  $T_2^{\text{NC}}$  inner rounds, every outer session is delivered.

$$T_{\text{NC}}(I_+) \leq T_1^{\text{NC}} T_2^{\text{NC}}.$$



## Product: routing distance multiplies

### Lemma

*If  $B$  has girth  $g = 2D_1D_2$ , then in  $G_+ \setminus F_+$ ,  $\text{dist}(s_i, t_i) \geq D_1D_2$  for every session of the product.*

### Proof.

Let  $p$  be a shortest source-sink path in  $G_+ \setminus F_+$ , minimized over all product sessions. Project  $p$  to the closed walk  $q$  in the bipartite graph  $B$ .

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If  $q$  contains a non-degenerate cycle, then  $|q| \geq g$ . Every time  $q$  enters a right node of  $B$ , the path  $p$  spends at least one real edge inside an inner copy. Thus

$$|p| \geq |q|/2 \geq g/2 = D_1D_2.$$

## Projection to a tree

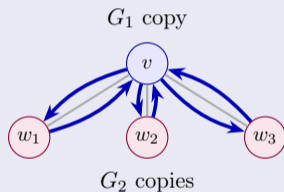
Proof.

Otherwise, the edges used by  $q$  span a tree  $\mathcal{T}$  in  $B$ . Root  $\mathcal{T}$  at the left node  $v \in V_1(B)$  containing the terminals of  $p$ . Suppose  $\mathcal{T}$  has depth 1.

# Projection to a tree

Proof.

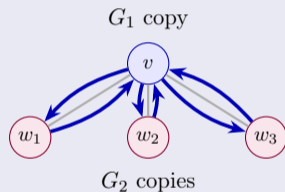
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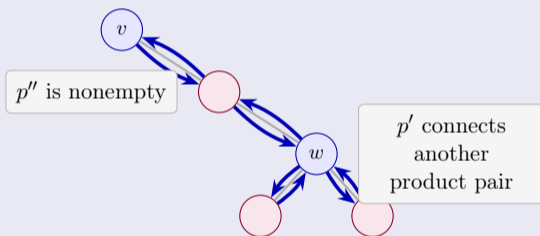


Then every path through a leaf node is a source-sink walk of length at least  $D_2$ . Contract every such inner walk back to the corresponding non-cut outer edge. The result is a surviving  $s_i-t_i$  walk in the outer instance, so it uses at least  $D_1$  non-cut outer edges. Therefore  $|p| \geq D_1 D_2$ .

## Tree of larger depth

Proof.

Now suppose  $\mathcal{T}$  has depth more than 1. Then the Euler tour enters a subtree rooted at some left node  $w \neq v$  and later leaves it.



The corresponding subpath  $p'$  connects a different product session, while the rest contains a nonempty piece  $p''$  passing through a right node. By minimality,  $|p'| \geq |p|$ ; but  $p$  contains both  $p'$  and  $p''$ , so  $|p| \geq |p'| + |p''| > |p'|$ , a contradiction.  $\square$

## Lemma

Let  $I_i$  have parameters  $(T_i^{\text{NC}}, D_i, |F_i|, K_i, |E_i|, \rho_i, \sigma_i)$ . Assume  $|E_i|/|F_i| \geq 2$  and  $T_i^{\text{NC}} \geq 2$ . Then  $I_+ = T(I_1, I_2, B)$  has parameters

$$\begin{aligned}T_+^{\text{NC}} &= T_1^{\text{NC}} T_2^{\text{NC}}, & D_+ &= D_1 D_2, \\|F_+| &= n_1 |F_1| + n_2 |F_2|, & K_+ &= n_1 K_1, \\|E_+| &= T_2^{\text{NC}} n_1 |F_1| + n_2 |E_2|,\end{aligned}$$

and

$$\rho_+ = \rho_1 \frac{1}{1 + 2\sigma_1/\rho_2}, \quad \sigma_+ = \sigma_2 \frac{1 + T_2^{\text{NC}}/2}{1 + \rho_2/(2\sigma_1)}.$$

## Recursive family

### Definition

For  $R \geq 5$ , define  $I(0, R)$  to be the base instance with

$$(T_{0,R}^{\text{NC}}, D_{0,R}, F_{0,R}, K_{0,R}, E_{0,R}, \rho_{0,R}, \sigma_{0,R}) = (3, 5, 1, R, \Theta(R^2), R, \Theta(R^2)).$$

For  $i + 1 > 0$  define

$$I(i + 1, R) = T(I_1, I_2),$$

where

$$I_1 = I(i, 3R), \quad I_2 = I(i, \sigma_{i,3R}).$$

### Observation

For all  $i \geq 0$ ,

$$T_{i,R}^{\text{NC}} = 3^{2^i}, \quad D_{i,R} = 5^{2^i}.$$

## Controlling the size: first recurrences

### Lemma

For every  $i \geq 0$  and  $R \geq 5$ :

$$\rho_{i,R} \geq R,$$

$$\sigma_{i+1,R} \leq 3^{2^i} \sigma_{i,\sigma_{i,3R}},$$

and

$$\log E_{i+1,R} \leq O(5^{2^{i+1}}) \log(E_{i,3R} E_{i,\sigma_{i,3R}}).$$

### Proof.

The first two inequalities follow directly using  $\rho_2 = \sigma_1$ . The colored graph has

$$\begin{aligned} \max(n_1, n_2) &\leq (2(|E_1| - |F_1|)K_2)^{O(D_1 D_2)} \leq (|E_1||E_2|)^{O(5^{2^{i+1}})} \implies \\ E_{i+1,R} &= T_2^{\text{NC}} n_1 |F_1| + n_2 |E_2| \leq T_2^{\text{NC}} \max(n_1, n_2) |E_1||E_2|. \end{aligned}$$



## Bounding $\sigma_{i,R}$

### Lemma

For all  $i \geq 0$  and  $R \geq 5$ ,

$$\log \sigma_{i,R} \leq 2^{O(2^i)} \log R.$$

### Proof.

$$\sigma_{i+1,R} \leq 3^{2^i} \sigma_{i,\sigma_{i,3R}} \implies \log \sigma_{i+1,R} \leq O(2^i) + \log \sigma_{i,\sigma_{i,3R}}.$$

Then

$$\begin{aligned} \log \sigma_{i+1,R} &\leq O(2^i) + \frac{1}{c} (c2)^{2^i} \log \sigma_{i,3R} \\ &\leq O(2^i) + \frac{1}{c} (c2)^{2^i} \cdot c2^{2^i} \log(3R) \\ &\leq \frac{1}{c} (c2)^{2^{i+1}} \log R \end{aligned}$$

for a sufficiently large constant  $c$ . □

# Bounding the number of edges

## Lemma

For all  $i \geq 0$  and  $R \geq 5$ ,

$$\log E_{i,R} \leq 2^{O(2^i)} \log R.$$

## Proof.

Use the recurrence

$$\log E_{i+1,R} \leq O(5^{2^{i+1}}) (\log E_{i,3R} + \log E_{i,\sigma_{i,3R}}).$$

Inductively,

$$\begin{aligned} \log E_{i+1,R} &\leq O(5^{2^{i+1}}) \left( 2^{O(2^i)} \log(3R) + 2^{O(2^i)} \log \sigma_{i,3R} \right) \\ &\leq 2^{O(2^{i+1})} \log R, \end{aligned}$$

where the last step uses the bound on  $\sigma_{i,3R}$ . □

# Proof of the polylog lower bound

## Theorem

*There is an absolute  $c > 0$  and infinitely many  $k$ -unicast instances with*

$$\text{gap}_{\ell_\infty}(M) \geq \Omega(\log^c k).$$

## Proof.

Take  $I(i, R)$  with  $R = 5^{2^i}$ . It has coding makespan at most

$$T_{i,R}^{\text{NC}} = 3^{2^i},$$

and because  $D_{i,R} = 5^{2^i} \leq \rho_{i,R}$ , its routing makespan is at least

$$D_{i,R} = 5^{2^i}.$$

Thus the gap is at least  $(5/3)^{2^i}$ .

## Polylog lower bound: in terms of $k$

Proof.

The number of sessions  $k$  is at most the number of edges  $E_{i,R}$ , and






$$\log E_{i,R} \leq 2^{O(2^i)} \log R \leq 2^{O(2^i)}.$$

Therefore

$$(5/3)^{2^i} \geq \log^c E_{i,R} \geq \log^c k$$

for some constant  $c > 0$ . □

- Close the gap between  $O(\log^2 k)$  and  $\Omega(\log^c k)$ ?
- Extend completion-time coding gaps beyond multiple unicasts (Anton's idea)?
- Derive lower bounds in other models?

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